# Forging tropical signatures 

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# TROPICAL CRYPTOGRAPHY III: DIGITAL SIGNATURES 

JIALE CHEN, DIMA GRIGORIEV, AND VLADIMIR SHPILRAIN

Abstract. We use tropical algebras as platforms for a very efficient digital signature protocol. Security relies on computational hardness of factoring one-variable tropical polynomials; this problem is known to be NP-hard.

## This talk

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Some comments on cryptographic design methodology

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- Public key: $m=x y \in S$.
- Signing: Let $h \in S$ be a message hash.

Pick $u, v \stackrel{\text { random }}{\leftarrow} S$, return $\left(s_{1}, s_{2}, n\right):=(h x u, h y v, u v)$

- Verifying: Check $s_{1} s_{2}=h h m n$.


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Idea: Key recovery means recovering $(x, y)$.

- Path A: Factor $m$ into $x, y$.
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Q: What about forgery attacks that do not recover $(x, y)$ ? $\rightsquigarrow$ Significantly more ad-hoc problem.

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Some properties:

- $(\mathbb{T}, \oplus)$ is a commutative monoid with neutral element $\infty$.
- $(\mathbb{T}, \otimes)$ is a commutative monoid with neutral element 0.
- The distributive law holds: $a \otimes(b \oplus c)=a \otimes b \oplus a \otimes c$.
- Absorption properties: $a \oplus a=a$ and $\infty \otimes a=\infty$.


## Tropical polynomials

Consider symbolic polynomials over $\mathbb{T}$ :

$$
F(x)=c_{0} \oplus\left(c_{1} \otimes x\right) \oplus\left(c_{2} \otimes x \otimes x\right) \oplus \cdots \oplus\left(c_{n} \otimes x^{\otimes n}\right)
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with all $c_{i} \in \mathbb{T}$. In more conventional notation:

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F(x)=\min \left\{c_{0}, c_{1}+x, c_{2}+2 x, \ldots, c_{n}+n x\right\}
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(Note: "Missing" coefficients are $\infty$, not 0!)

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(Note: "Missing" coefficients are $\infty$, not 0!)

Arithmetic works as usual, but with $(\oplus, \otimes)$ instead of $(+, \cdot)$.

- Example:

$$
\begin{aligned}
& (1 \oplus(3 \otimes x)) \otimes(-1 \oplus(2 \otimes x)) \\
= & 0 \oplus(2 \otimes x) \oplus\left(5 \otimes x^{\otimes 2}\right)
\end{aligned}
$$

## NP-hardness of tropical polynomial factorization

- Kim-Roush (2005, arXiv:math/0501167): Factoring tropical polynomials is NP-hard. Here "factoring" really means "splitting into a nontrivial product".


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- Parameters: Two integers $d$,r. (Paper: $d=150$ and $r=127$.)
- Let $T_{d, r}$ denote the set of tropical polynomials of degree $d$ with all coefficients in $\{0, \ldots, r\}$ and let $H:\{0,1\}^{*} \rightarrow T_{d, r}$.


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- Private key: Two tropical polynomials $X, Y \stackrel{\text { random }}{T_{d, r}}$.
- Public key: The tropical product $M:=X \otimes Y$.
- Signature: Three tropical polynomials $S_{1}, S_{2}, N$ such that
- $S_{1}, S_{2} \in T_{3 d, 3 r}$ and $N \in T_{2 d, 2 r}$.
- $S_{1} \otimes S_{2}=P \otimes P \otimes M \otimes N$ where $P=H$ (message).
- $S_{1}, S_{2}$ are not constant tropical multiples of $P \otimes M$ or $P \otimes N$.


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- $S_{1}, S_{2}$ are not constant tropical multiples of $P \otimes M$ or $P \otimes N$.
- Honest signature: Sample $U, V \leftarrow^{\text {random }} T_{d, r}$ and let $N=U \otimes V, S_{1}=P \otimes X \otimes U$, and $S_{2}=P \otimes Y \otimes V$.


## Warmup: "Trivial forgeries"

Recall: We require $S_{1} \otimes S_{2}=P \otimes P \otimes M \otimes N$, such that $S_{1}, S_{2} \in T_{3 d, 3 r}$. (Recall $P \in T_{d, r}$ and $M, N \in T_{2 d, 2 r}$.)

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Easy: $S_{1}=P \otimes M=P \otimes X \otimes Y$ and $S_{2}=P \otimes N=P \otimes U \otimes V$.
Compare honest signature: $S_{1}=P \otimes X \otimes U$ and $S_{2}=P \otimes Y \otimes V$.

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Easy: $S_{1}=P \otimes M=P \otimes X \otimes Y$ and $S_{2}=P \otimes N=P \otimes U \otimes V$. Compare honest signature: $S_{1}=P \otimes \mathrm{X} \otimes U$ and $S_{2}=P \otimes Y \otimes V$.
Also, can scale $\left(S_{1}, S_{2}, N\right)$ by $\left(c_{1}, c_{2}, c_{1} \otimes c_{2}\right)$ where $c_{1}, c_{2} \in \mathbb{T}$.

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Also, can scale $\left(S_{1}, S_{2}, N\right)$ by $\left(c_{1}, c_{2}, c_{1} \otimes c_{2}\right)$ where $c_{1}, c_{2} \in \mathbb{T}$.
6. These "trivial forgeries" are why the verifier checks
\& ${ }^{-1}$ that $S_{1}, S_{2}$ aren't constant multiples of $P \otimes M, P \otimes N$.

## Attack \#1: Morphing products

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\min \left\{c_{i}+c_{k-i}^{\prime}: i \in\{0, \ldots, k\}\right\}
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- Attack:
- Start from "trivial forgery" $\left(S_{1}, S_{2}\right)=(P \otimes M, P \otimes N)$.
- Find positions $i$ and $j$ of $S_{1}$ and $S_{2}$ that can be changed (e.g., $\pm 1$ ) without affecting the value of $S_{1} \otimes S_{2}$.


## Attack \#1: Morphing products

```
U, V = one_v_poly(d, r), one_v_poly(d, r)
N = pol_times_pol2(U, V)
PN = pol_times_pol2(P, N)
rhs = pol_times_pol2(PM, PN)
for s,i in itertools.product((+1,-1), range(len(PM))):
    S1 = copy.deepcopy(PM)
    S1[i][0] += s
    if pol_times_pol2(S1, PN) == rhs:
        break
for s,i in itertools.product((+1,-1), range(len(PN))):
    S2 = copy.deepcopy(PN)
    S2[i][0] += s
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## Attack \#2: Swapping divisors

- Observation: It is not necessary to fully factor $M$ (or $N$ ).
- We already have $S_{1} \otimes S_{2}=P \otimes P \otimes M \otimes N$. Wanted: Some different factorization of this value.
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In some more detail: Decompose $P \otimes M=D_{1} \otimes R_{1}$ and $P \otimes N=D_{2} \otimes R_{2}$. Then set $S_{1}:=D_{1} \otimes R_{2}$ and $S_{2}:=D_{2} \otimes R_{1}$.

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- Finding (small-degree) divisors: Write $P \otimes M=D_{1} \otimes R_{1}$ as a system of inequalities; feed them to a generic solver. I've had great success with the $z 3$ SMT solver.


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- Let $N \stackrel{\text { random }}{\leftarrow} T_{2 d, 2 r}$.
- Set $S_{1}=P \otimes M$ and $S_{2}=P \otimes N$ and write $R:=S_{1} \otimes S_{2}$.


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- Compute $S_{1}^{\prime}:=R \oslash S_{2}$ and subsequently $S_{2}^{\prime}:=R \oslash S_{1}^{\prime}$.
- The forged signature is $\left(S_{1}^{\prime}, S_{2}^{\prime}, N\right)$.


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- (The "rehashing" attack from Brown-Monico also remains unfixed.)


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!! This variant bypasses all proposed countermeasures.


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- It uses tropical multiplication and addition. Signature: $(R, S, T, N, E)$ with some bounds. Verification:

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& P \otimes(R \oplus S) \oplus E \stackrel{?}{=}(P \otimes P) \oplus T \\
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- Stupid attack: Choose arbitrary $R, S, N$ and set

$$
T=E=\bigoplus_{i}\left(0 \otimes x^{\otimes i}\right)
$$

This validates for any message: Recall $\forall a \geq 0 . a \oplus 0=0$.

## This talk

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(2) Focus on the NP-hardness of the underlying problem.
(1) Construction is not actually based on that problem.


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Case in point:

- Breaking any public-key cryptosystem lies in NP, hence "is" an instance of an(y) NP-complete problem.
- Stupid example: Rewrite SIKE in terms of binary circuits; now it is an instance of Circuit-SAT, which is NP-complete. Moreover, the only obvious way of attacking Circuit-SAT is to use a generic SAT solver, which cannot work because Circuit-SAT is NP-hard, so we're good!


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- This is what average-case hardness is about.


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## A Hard Problem That is Almost Always Easy

## George Havas and B.S. Majewski

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#### Abstract

NP-completeness is, in a well-defined sense, a worst case notion. Thus, 3 -colorability of a graph, for a randomly generated graph, can be determined in constant expected time even though the general problem is NP-complete. The reason for this is that some hard problems exhibit a structure where only a small (perhaps exponentially small) fraction of all possible instances is intractable, while the remaining large fraction has a polynomial time solution algorithm.


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Papadimitriou, 1995: It is now common knowledge among computer scientists that NP-completeness is largely irrelevant to public-key cryptography, since in that area one needs sophisticated cryptographic assumptions that go beyond NP-completeness and worst-case polynomial-time computation [19]; furthermore, cryptographic protocols based on NP-complete problems have been ill-fated.

# Questions? 

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